

Brief Overview of ALCAM

Australian Level Crossing Assessment Model



Introduction

- What does ALCAM look at
- How can ALCAM be used
- How do we apply ALCAM
- What are the changes (existing vs new)
- How can ALCAM risk reports be accessed
- ALCAM Existing/New Database
- ALCAM identified - Safety improvements
- Train/road vehicle collisions



What does ALCAM look at?



Controls

- Half-arm barrier
- Road markings
- Signage

Characteristics

- Proximity to a station
- Number of tracks
- Train volume
- Train speed
- Visibility of train

Accident Mechanism

- Drives around or through boom gates
- Road user is distracted
- Road user fatigued



How can ALCAM be used?

- To identify key potential risks at level crossings
- Quantify the expected consequences of an accident
- Quantify the probability of an accident
- To compare relative risk between crossings within a region or jurisdiction
- Model the effect of treatments to address these risks
- Assists in the prioritisation of crossing upgrades
- Assists in the decision making for level crossings safety improvements



How do we apply ALCAM

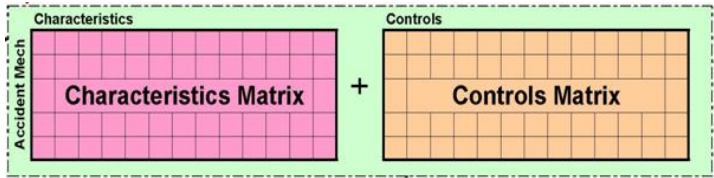
ALCAM cannot be applied in isolation, any risk assessment and treatment needs to consider:

- Sound engineering judgement applied by road and railway engineers
- Collision and near-collision history
- Local knowledge of driver or pedestrian behaviour
- Social and economic assessment
- Standards and international best practice



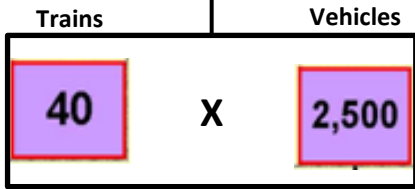
CURRENT ALCAM

Structure of Current ALCAM



Likelihood Factor × **Exposure Factor** × **Consequence Factor** = **ALCAM Risk Score (ARS)**

172



10

Speed

| | | | | |
|-----|----|----|----|----|
| 4 | 10 | 10 | 10 | 10 |
| 4 | 10 | 10 | 10 | 10 |
| 4 | 4 | 10 | 10 | 10 |
| 3 | 4 | 10 | 10 | 10 |
| 3 | 4 | 10 | 10 | 10 |
| 0.1 | 3 | 4 | 10 | 10 |
| 0.1 | 3 | 3 | 10 | 10 |
| 2 | 3 | 3 | 4 | 4 |
| 1 | 1 | 3 | 3 | 3 |
| 0.1 | 1 | 1 | 3 | 3 |

Environment

172,000,000



CURRENT ALCAM

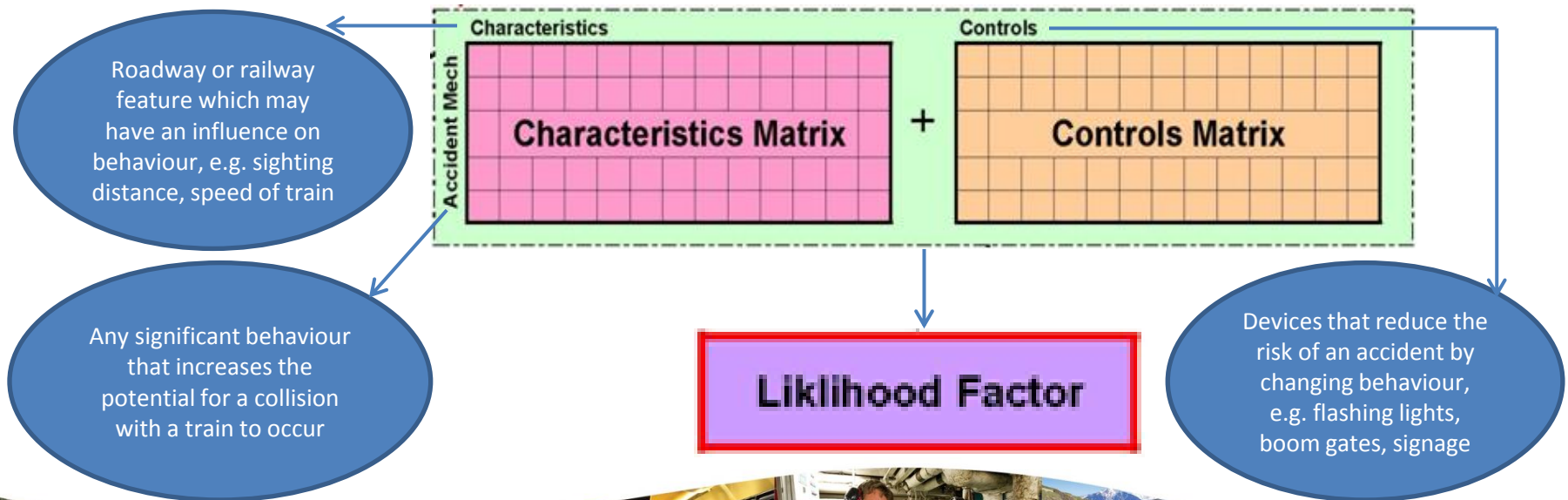
Likelihood Factor

Characteristics Matrix

Determines the effect that each characteristic would have on each accident mechanism

Controls Matrix

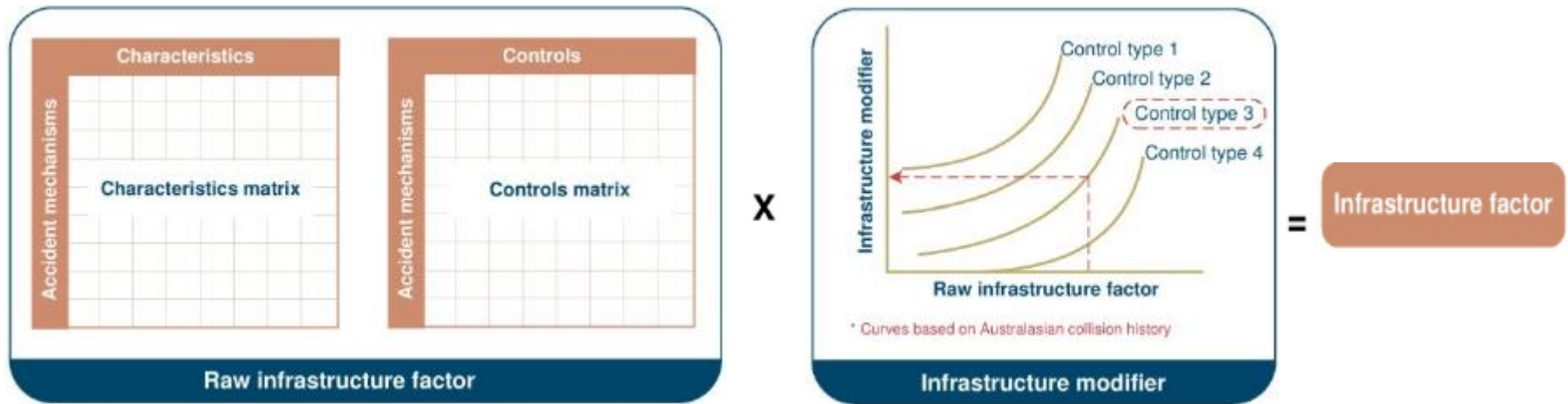
Determines the effect that controls will have on reducing the likelihood of an accident mechanism occurring



NEW ALCAM

Infrastructure Factor

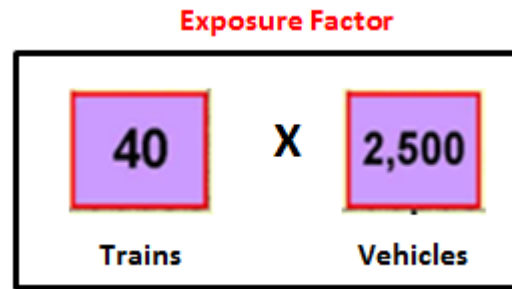
- Characteristics and Controls Matrix now becomes a **Raw Infrastructure Factor**
- An **Infrastructure Modifier** turns the **Raw Infrastructure Factor** into a real accident probability or **Infrastructure Factor**



CURRENT ALCAM

Exposure Factor

- Produced by multiplying the road traffic volume (V) and rail traffic volume (T) of a level crossing



- Gave traffic volumes the most influence on ranking crossings



NEW ALCAM

Exposure Factor

- Study investigated exposure modelling used in Australia, UK, and US
- Compared predictions Australian/New Zealand level crossing crash data
- Found the conventional ($V \times T$) did not replicate the observed collision record
- ALCAM adopted the *Peabody-Dimmick Formula*. An accident predication model used in the US
- Apply an *adjustment factor* to the result in order to produce more contemporary crash rate predictions and uses 10 years of Australian/New Zealand crash data



CURRENT ALCAM

Consequence Factor

Relationship between an **environmental factors** and **train speed factor**

- Is a modification factor to inflate or deflate the exposure factor (V x T)

| Factors affecting Consequences | | Speed | | | | |
|--|-------|--------|---------|----------|-----------|-------|
| | | 0 - 60 | 61 - 80 | 81 - 100 | 101 - 120 | > 120 |
| Environmental Factors | Index | 1 | 2 | 3 | 4 | 5 |
| Curve within stopping distance & Points in direction of travel | 1 | 4 | 10 | 10 | 10 | 10 |
| Road under bridge or river bridge | 2 | 4 | 10 | 10 | 10 | 10 |
| Steep embankment 3m + | 3 | 4 | 4 | 10 | 10 | 10 |
| Multiple track | 4 | 3 | 4 | 10 | 10 | 10 |
| School bus route | 5 | 3 | 4 | 10 | 10 | 10 |
| High proportion of heavy vehicles using the level crossing +10% | 6 | 0.1 | 3 | 4 | 10 | 10 |
| Tunnel within the stopping distance | 7 | 0.1 | 3 | 3 | 10 | 10 |
| Medium embankment | 8 | 2 | 3 | 3 | 4 | 4 |
| Curve within stopping distance & No other environmental concerns | 9 | 1 | 2 | 3 | 3 | 3 |
| Straight track + passengers | 10 | 1 | 1 | 3 | 3 | 3 |
| Straight track + freight only | 11 | 0.1 | 1 | 1 | 3 | 3 |



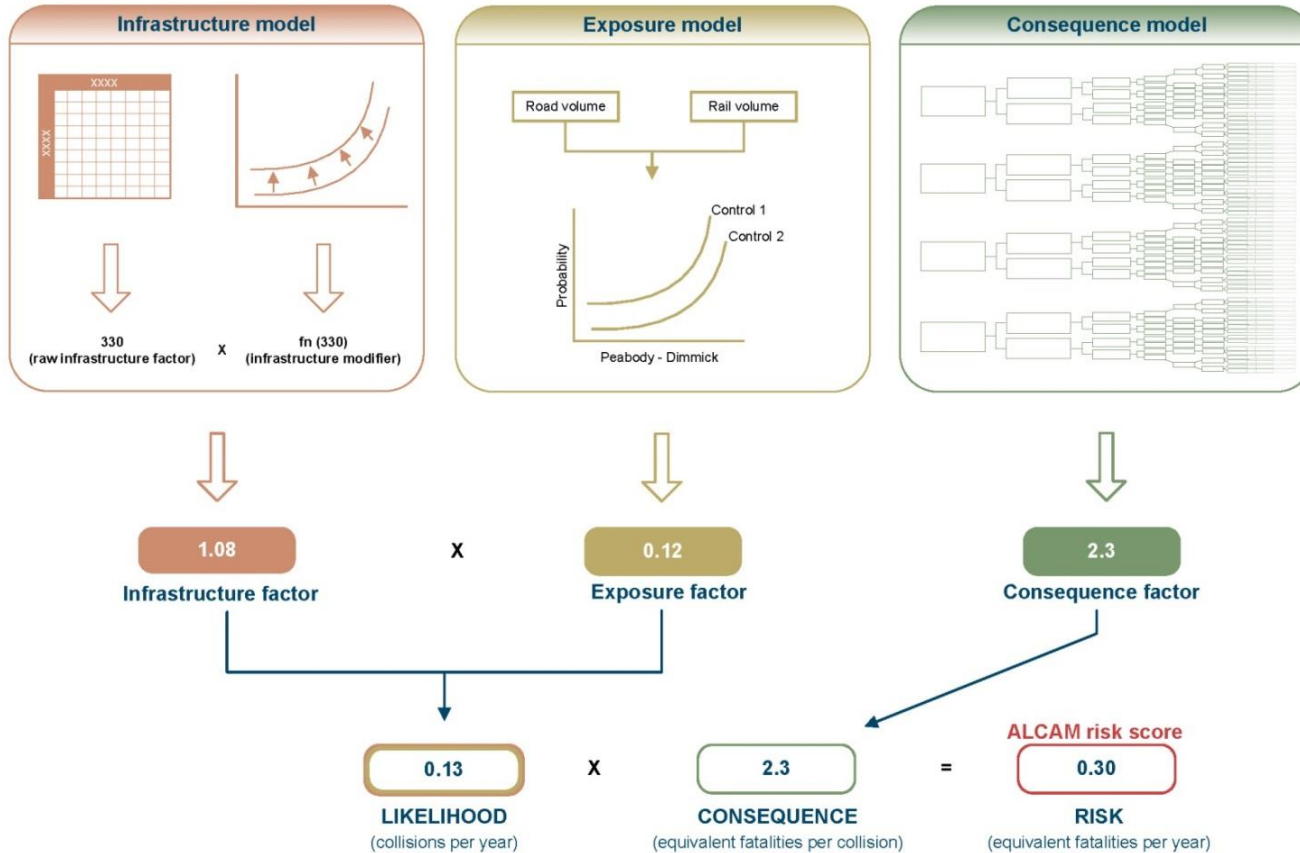
Consequence Factor

- An **event tree** is used to estimate the likelihood that level crossing collision will escalate into more serious consequences.
e.g. derailment, overturn, and secondary collision
- The end outcome of the event tree has an associated number of fatalities, serious injuries, and minor injuries. When combined are expressed in terms of equivalent fatalities per collision
- The probability of occurrence and possible outcomes used are based on 10 years of Australian/New Zealand level crossing crash data and assumptions from UK data



NEW ALCAM

Structure of New ALCAM



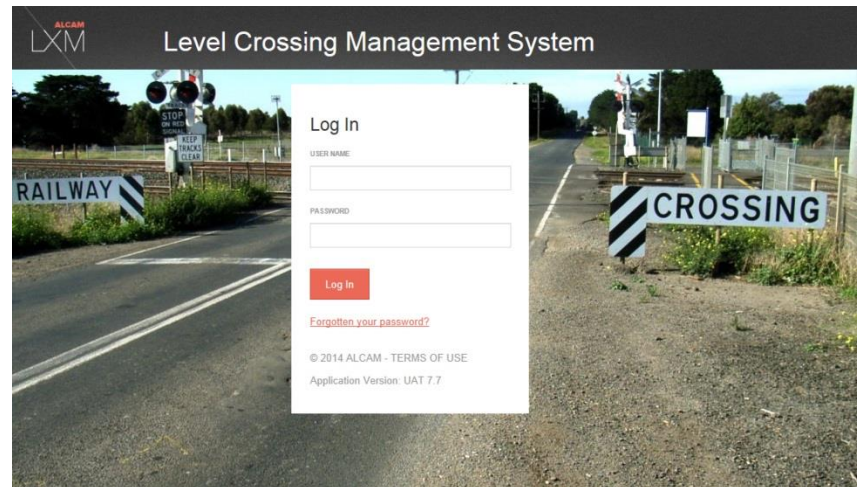
Existing/New ALCAM Databases

CURRENT ALCAM



Microsoft Access
KiwiRail

NEW ALCAM



internet Interface
Available to all KiwiRail/Roading
authorities/NZ Transport Agency

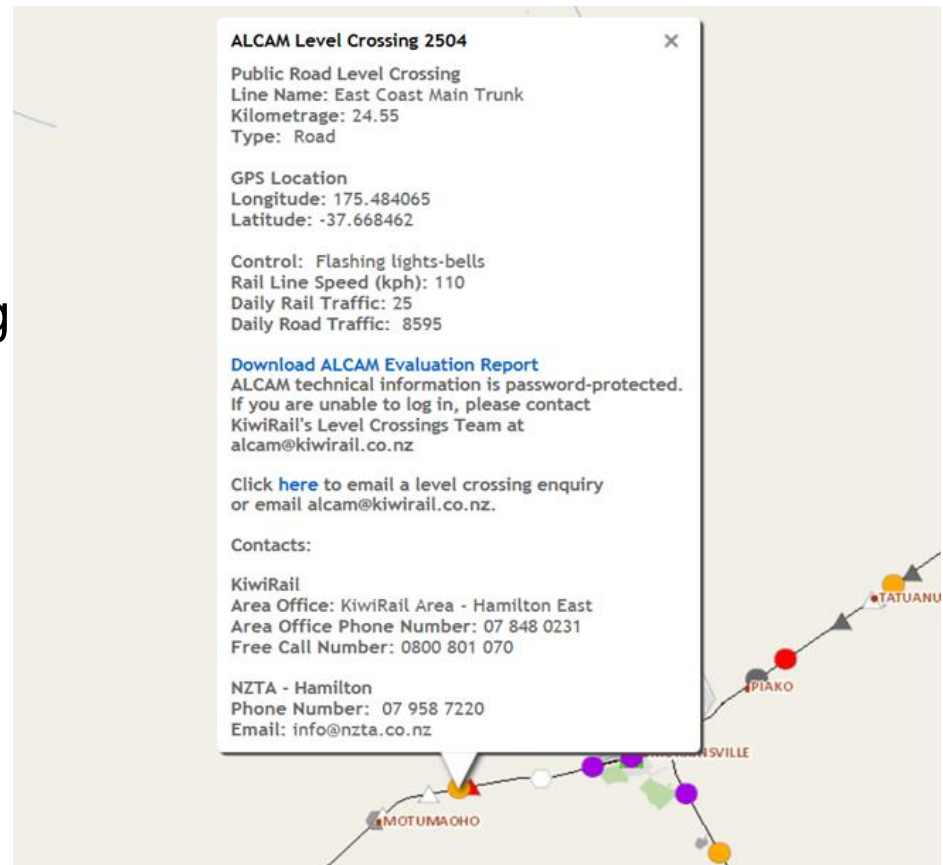


How can we access the reports

- Available on the KiwiRail website

Maintaining the data

- Road/pedestrian level crossing survey form
- Level crossing sketch
- Photos
- Input into ALCAM database
- Road and Rail Access into database



The screenshot shows a map of a rail line with several level crossings marked by colored dots. A popup window is open over one of the crossings, displaying the following information:

ALCAM Level Crossing 2504 [Close]

Public Road Level Crossing
Line Name: East Coast Main Trunk
Kilometrage: 24.55
Type: Road

GPS Location
Longitude: 175.484065
Latitude: -37.668462

Control: Flashing lights-bells
Rail Line Speed (kph): 110
Daily Rail Traffic: 25
Daily Road Traffic: 8595

[Download ALCAM Evaluation Report](#)
ALCAM technical information is password-protected.
If you are unable to log in, please contact
KiwiRail's Level Crossings Team at
alcam@kiwirail.co.nz

Click [here](#) to email a level crossing enquiry
or email alcam@kiwirail.co.nz.

Contacts:

KiwiRail
Area Office: KiwiRail Area - Hamilton East
Area Office Phone Number: 07 848 0231
Free Call Number: 0800 801 070

NZTA - Hamilton
Phone Number: 07 958 7220
Email: info@nzta.co.nz

The map in the background shows a rail line with stations labeled: MOTUMAOHO, HAMILTON, PIAKO, and TATUANU. The popup window is positioned over the PIAKO station area.



Level crossing controls

Equipment or tools that reduce the risk of an accident by changing pedestrian or driver behaviour. A control could also include education and law enforcement campaigns

- Grade separation
- Active control - half boom, flashing lights *
- Active control - full boom, flashing lights
- Active control - primary flashing lights *
- Flashing light enhanced stop sign
- Audible warning
- Passive control - stop signs *
- Passive control - give way signs *
- Passive control - position markers only
- Rail operated gates
- "Keep Tracks Clear" signs and cross hatching of crossing
- Backing boards / LED lights
- Hump / dip advisory sign to road user
- R6-25 signage (confederate flag)
- Train speed advisory sign to road users
- Overhead mounted (mast arm) traffic control
- RX-9 Railway Crossing Width Marker Assembly
- Standard advanced warning (W7-4 or W7-7) *
- Train activated advanced warning (e.g. flashing lights)
- Large passive advanced warning *
- Passive tactile advanced warning (e.g. rumble strips)
- Visual road marking (stripes)
- Reduced speed zone in vicinity of crossing
- Rail-X pavement marking
- Localised public education strategies
- Enforcement camera
- CCTV surveillance
- Hand signaller (flagman)
- Public response phone number
- Reschedule train to avoid conflict
- Whistle board / location board for train
- Reduce train speed sign to achieve S2 or S3
- Street lighting at crossing
- Maintenance program for vegetation on rail
- Maintenance program for vegetation on road
- Extra lanes over crossing
- Central barrier posts/median on road approach
- Address short stacking - infrastructure
- Address short stacking - alternate access
- Short stacking sign
- Vehicle escape zones
- Control of crossing (CCTV or on-site)
- Coordination with adjacent traffic signal
- Sign (active) for downstream queue warning
- sign (active) for second oncoming train warning
- Detectors in crossing conflict zone
- Road traffic signals (active)
- Variable message sign (active)
- Healthy state monitoring
- Queue relocation

* Additional weighting where control is duplicated on site



Level crossing characteristics

A characteristic is defined as any feature of a roadway or railway which may influence on pedestrian or driver behaviour (accident mechanisms).

- Effectiveness of equipment inspection and maintenance
- Longest approach warning time
- Proximity to intersection control point
- Proximity to siding/shunting yard
- Proximity to station
- Possibility of short stacking
- Number of lanes or lines of traffic
- Vulnerability to road user fatigue
- Presence of adjacent distractions
- Condition of traffic control at level crossing
- Visibility of traffic control at crossing
- Distance from advance warning to level crossing
- Conformance with Australian Standards (AS 1742.7)
- Heavy vehicle proportion
- Level of service (vehicle congestion)
- Queuing from adjacent intersections
- Sun glare affecting sighting of crossing or approaching train
- Temporary visual impediments - sighting of level crossing or sighting of train
- Road traffic speed (approach speed 85th percentile)
- Train volume - two way (high / low)
- Seasonal / infrequent train patterns
- Slowest train speed at level crossing (typical)
- Longest train length at level crossing (typical)
- High train speed on approach to level crossing
- Number of operational rail tracks
- Condition of road surface on immediate approach/departure (not the crossing panel)
- Level crossing panel on a hump, dip or rough surface
- S1 - advance visibility of level crossing from road
- S2 - approach visibility to train (vehicle approaching crossing)
- S3 - visibility to train (vehicle stopped at level crossing)



Accident mechanisms

An accident mechanism is any significant pedestrian or driver behaviour that increases the potential for a collision with a train to occur. The road user:

- is distracted
- cannot see control
- cannot see train from road approach (S2) (approach siting)
- cannot see train from at crossing (S3) (restart siting)
- assumes train would stop
- does not expect second train
- finds crossing control is ambiguous
- is fatigued
- is mislead by controls
- is unable to stop in time
- is stuck on tracks
- is stopped on tracks
- is queued on tracks
- overhangs on tracks
- is racing train or misjudged train speed
- drives through passive warning without looking
- drives through flashing lights
- drives around boom gates



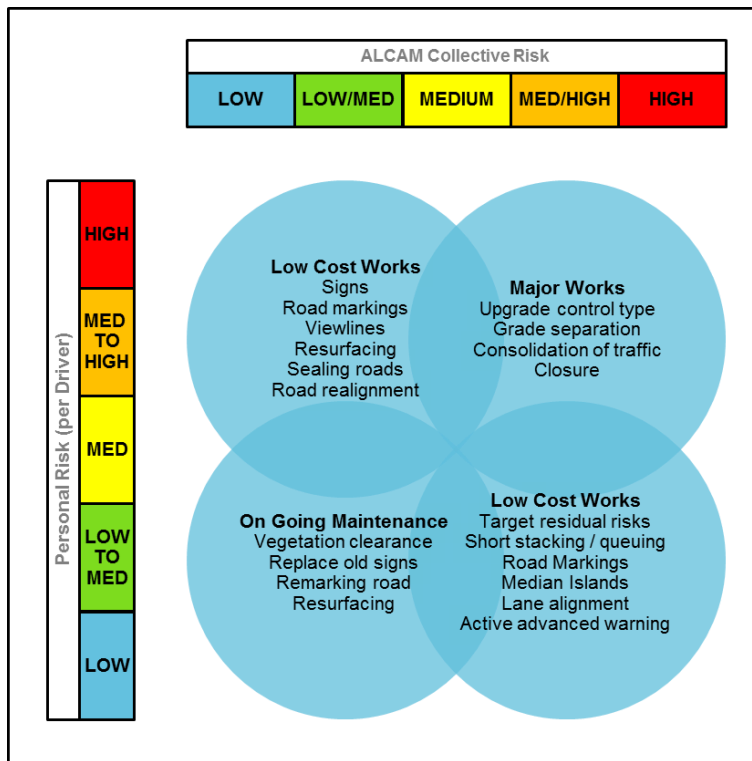
Consequence model considerations

An event tree model used to produce a range of outcomes (and associated probabilities) if a collision were to occur. The average output of this model is the Consequence Factor.

- Frequency of passenger trains
- Frequency of freight trains
- Frequency of freight trains (dangerous goods)
- Speed of passenger trains
- Speed of freight trains
- Speed of freight trains (dangerous goods)
- Percentage of buses
- Percentage of light vehicles
- Percentage of HGV vehicles
- Percentage of HGV vehicles (dangerous goods)
- Percentage of loco-hauled passenger trains
- Average bus occupancy;
- Average passenger train occupancy;
- Average freight train cab occupancy;
- Average number of wagons per freight train;
- Number of tracks;
- Track straight or curved;
- Distance to points or crossing;
- Distance to platform;
- Distance to underbridge;
- Distance to steep embankment;
- Distance to medium embankment;
- Distance to overbridge or tunnel.
- Time taken to protect fouled track;
- Potential for derailment in a collision;
- Potential for derailment offline in a collision;
- Potential for secondary collision with another train.



Potential safety improvements



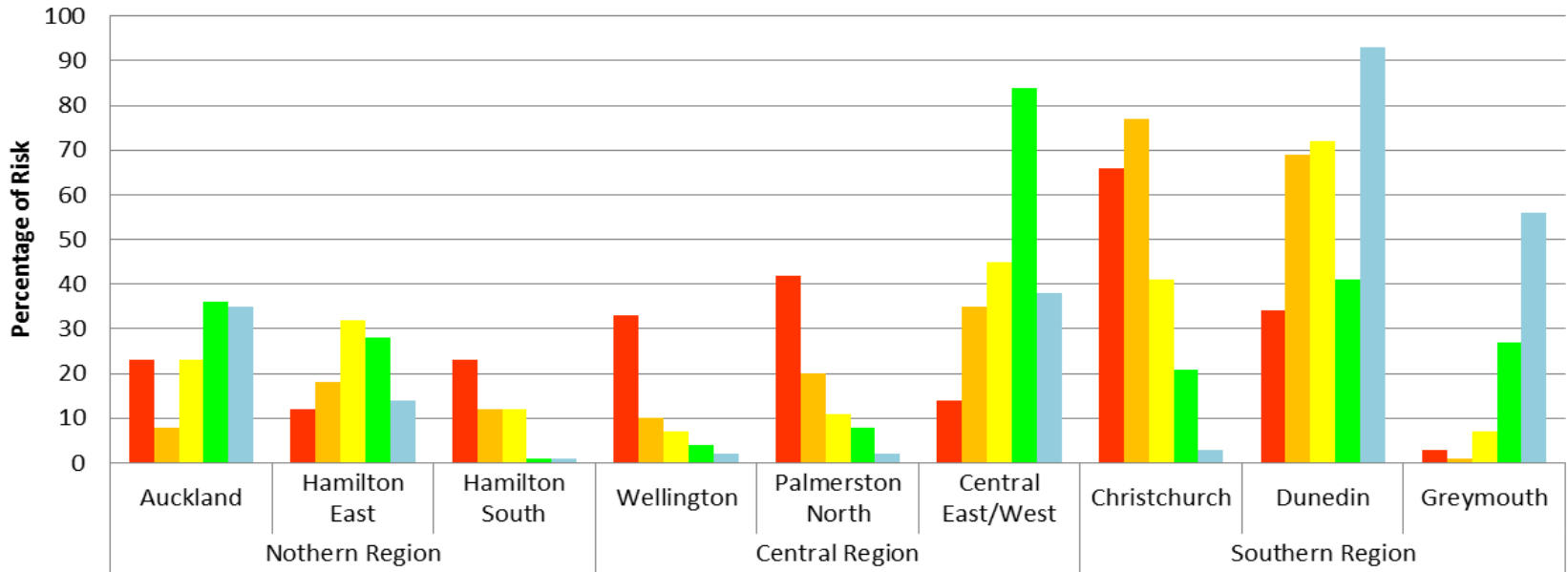
Personal vs Collective Risk

- **Personal Risk** - to a driver
- **Collective Risk** - of an collision at a crossing



ALCAM Risk - KiwiRail Areas

PUBLIC ROAD LEVEL CROSSINGS - ALCAM RISK SCORE



2004 - 2014 IRIS DATA

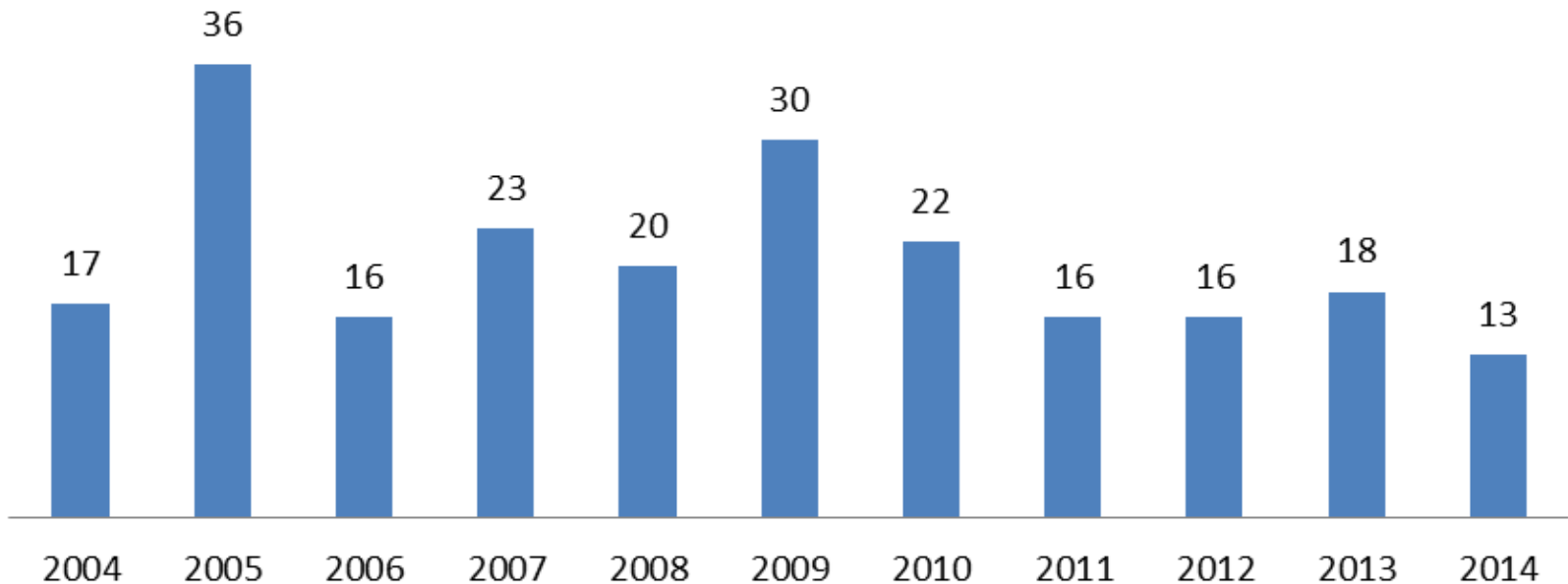
| | | | | | | | | | |
|-----|----|----|----|----|----|----|----|----|----|
| 90% | 23 | 12 | 23 | 33 | 42 | 14 | 66 | 34 | 3 |
| 70% | 8 | 18 | 12 | 10 | 20 | 35 | 77 | 69 | 1 |
| 50% | 23 | 32 | 12 | 7 | 11 | 45 | 41 | 72 | 7 |
| 30% | 36 | 28 | 1 | 4 | 8 | 84 | 21 | 41 | 27 |
| 10% | 35 | 14 | 1 | 2 | 2 | 38 | 3 | 93 | 56 |



Train / Road Vehicle Collisions

Actual Public Level Crossings Train / Road Vehicle Collisions 5th August 2014

■ Public Level Crossings Train / Road Vehicle Collisions



Conclusion

- Continued cooperation and delivery of improvements with roading authorities and NZ Transport Agency
- ALCAM Risk reports have been available to all roading authorities and NZ Transport Agency
- Availability of ALCAM internet interface by year end
- Training



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QUESTIONS?

